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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

TTY Y'S DOCKET NUMBER

1776/00053

U.S. APPLICATION OF OF THE STORY (1) 3

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE April 19, 1999

PRIORITY DATE CLAIMED April 17, 1998

PCT/JP99/02049 🗸

## TITLE OF INVENTION

DATA COMPRESSING DEVICE AND METHOD, DATA EXPANDING DEVICE AND METHOD, DATA COMPRESSING/EXPANDING SYSTEM AND METHOD, CODE BOOK MAKING METHOD, AND VECOTR QUANTIZING DEVICE AND METHOD

## APPLICANT(S) FOR DO/EO/US

Ohmi, Tadahiro, Kotani, Koji, Nakada, Akira, Imai, Makoto, Konda, Masahiro, Morimoto, Tatsuo, Yonezawa, Takemi, Nozawa, Toshiyuki Masanori Fujibayashi and Takahisa Nitta

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
- 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. 

  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\square$  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  $\square$  has been transmitted by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. 

  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  $\square$  are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. 

      have been transmitted by the International Bureau.
  - c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired.
  - d. 

     have not been made and will not be made.
- 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

- 12.  $\square$  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- - □ A SECOND or SUBSEQUENT preliminary amendment.
- 14. 

  A substitute specification.
- 16. Other items or information:

INTERNATIONAL APPLICATION NO. PCT/JP99/02049 1776/00053 CALCULATIONS PTO USE ONLY ☐ The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO......\$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)......\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,000.00 \$130.00 Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Claims Number Filed Number Extra Rate Total Claims 133 - 20 =113 X \$18.00 \$2,034.00 Independent Claims 35 - 3 =32 X \$80.00 \$2,560.00 Multiple dependent claim(s)(if applicable) + \$270.00 \$270.00 TOTAL OF ABOVE CALCULATIONS = \$5,994.00 \$ Reduction by 1/2 for filing by small entity, if applicable. SUBTOTAL = \$5,994.00 Processing fee of \$130.00 for furnishing the English translation later than □ 20 30 months from \$130.00 the earliest claimed priority date (37 CFR 1.492(e)). TOTAL NATIONAL FEE \$6,124.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED \$6,124.00 Amount to be: refunded | \$ charged \$ A check in the amount of \$6,124.00 to cover the above fees is enclosed. b. □ Please charge my Deposit Account No. 22-0185 in the amount of \$ to cover the above fees. A duplicate cop; of this sheet is enclosed. c. 📈 The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status SEND ALL CORRESPONDENCE TO: Pollock, Vande Sande & Amernick, R.L.L.P. 1990 M Street, N.W., Suite 800 SIGNATURE Washington, DC 20036-3425 George R. Pettit NAME 27,369

REGISTRATION NUMBER



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 09/673503

In re Application of:

Tadahiro Ohmi e

Serial No.: 09/673,503

Filed: October 17, 2000

DATA COMPRESSING DEVICE For:

> AND METHOD, DATA **EXPANDING DEVICE AND**

METHOD, DATA

COMPRESSING/EXPANDING SYSTEM AND METHOD, CODE BOOK MAKING METHOD, AND VECOTR QUANTIZING DEVICE

AND METHOD

Art Unit: To be assigned

Examiner: To be assigned

Atty Docket: 1776/00053

## REQUEST FOR SMALL ENTITY STATUS

Commissioner for Patents Washington, D.C. 20231

Sir:

The Applicant hereby requests small entity status.

Respectfully submitted

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Date: January 9, 2001